



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David H. Jones et al.                      Art Unit : Unknown  
Serial No. : 09/898,105                                      Examiner : Unknown  
Filed : July 3, 2001  
Title : METHOD OF MAKING MICROENCAPSULATED DNA FOR VACCINATION  
AND GENE THERAPY

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

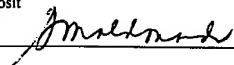
In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed July 7, 2001 (copy enclosed), applicant as a large entity-submits herewith the following:

- ☒ Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ A copy of the Combined Declaration and Power of Attorney from the parent application USSN 09/079,400, filed May 15, 1998
- ☒ Other: A copy of the Revocation and New Power of Attorney filed on behalf of the parent case (USSN 09/079,400, filed May 15, 1998) filed on November 22, 2000

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

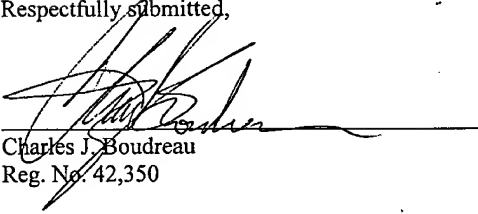
September 19, 2001  
Date of Deposit  
Signature   
Gina Maldonado  
Typed or Printed Name of Person Signing Certificate

Applicant : David H. Jones et al.  
Serial No. : 09/898,105  
Filed : July 3, 2001  
Page : 2

Attorney's Docket No.: 12020-003002

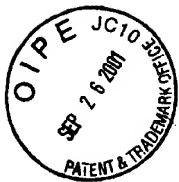
Respectfully submitted,

Date: September 19, 2001

  
Charles J. Boudreau  
Reg. No. 42,350

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New York, New York 10111  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David H. Jones et al.

Art Unit : Unknown

Serial No. : 09/898,105

Examiner : Unknown

Filed : July 3, 2001

Title : METHOD OF MAKING MICROENCAPSULATED DNA FOR VACCINATION  
AND GENE THERAPY

**BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed July 7, 2001 (copy enclosed). Applicants as a large entity submit herewith the following:

☒ Other: Substitute Formal Drawings in compliance with 37 CFR 1.84

Applicants responded to the other requirements set forth in the enclosed Notice on September 19, 2001, including the payment of the required fee, but inadvertently overlooked the requirement for filing the substitute Formal Drawings transmitted herewith. A copy of the Response filed on September 19, 2001 is also enclosed herewith.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 20, 2001

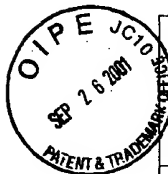
Date of Deposit

Signature

Gina Maldonado

Typed or Printed Name of Person Signing Certificate

# COPY



Attorney's Docket No. 12020-003002	Express Mail Label No.	Mailing Date September 19, 2001	<i>For PTO Use Only</i> <i>Do Not Mark in This Area</i>
Application No. 09/898,105	Filing Date July 3, 2001	Attorney/Secretary Init CJB/gzm	
Title of the Invention METHOD OF MAKING MICROENCAPSULATED DNA FOR VACCINATION AND GENE THERAPY			
Applicant David H. Jones et al.			
Enclosures · Response to Notice to File Missing Parts (2 pages) · Check in the amount of \$130.00 · Notice to File Missing Parts · Copy of Combined Declaration and Power of Attorney (2 pages) · Other: Copy of Revocation and New Power of Attorney from parent case 09/079,400			



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,105	07/03/2001	David Hugh Jones	12020-003002

CONFIRMATION NO. 1882

## FORMALITIES LETTER



\*OC0000000632359\*

JANIS K. FRASER  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

Date Mailed: 07/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible ( see 37 CFR 1.84(o));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE